**NATIONAL PRACTITIONER DATA BANK**

NOTE: The U.S. Department of Health and Human Services has merged the Healthcare Integrity and Protections Data Bank (HIPDB) into the National Practitioner Data Bank (NPDB).[[1]](#footnote-1) More information about the new combined Data Bank (called simply, “the Data Bank”) is available at www.npdb-hipdb.hrsa.gov**.**

Essentially, there is no change to the reporting workflow or requirements, but because the two data bases have been combined into one, now users' query results may include reports that were not previously available to them if they only queried one or the other.[[2]](#footnote-2) The Data Bank’s website lists the changes that each user group may experience:[[3]](#footnote-3)

* **All Queriers**: Information previously collected and disclosed through the HIPDB will be collected and disclosed through the NPDB. Users may see Federal and health plan actions/decisions in their query results that they were not able to receive before because they were only available through querying the HIPDB.
* **Continuous Query Users**: Users may receive notifications for reports (specifically, Federal Government agency and health plan actions/decisions) that were previously unavailable to them.
* **ITP and QRXS Users**: There are [new codes](http://www.npdb-hipdb.hrsa.gov/software/codes.jsp) for reporting government administrative actions.
* **All Reporters**: Reporters have not experienced any changes to reporting, as reporting requirements remain essentially the same. The three primary statutes ([Title IV of Public Law 99-660](http://www.npdb-hipdb.hrsa.gov/resources/titleIv.jsp), the Healthcare Quality Improvement Act of 1986, as amended, which established the NPDB; [Section 1921 of the Social Security Act](http://www.npdb-hipdb.hrsa.gov/resources/section1921.jsp), which expanded the NPDB; and [Section 1128E of the Social Security Act](http://www.npdb-hipdb.hrsa.gov/resources/1128e.jsp), added by Section 221(a) of the Health Insurance Portability and Accountability Act of 1996, which established the HIPDB) remain in effect, though modified, and the merger simply combines them into one system.

## What is the Data Bank?

The Data Bank is a national, computerized information source which tracks the following information: [[4]](#footnote-4)

* Payments made as a result of medical malpractice actions or claims.
* Adverse licensure actions taken by state licensing boards.
* Adverse actions on clinical privileges taken by hospitals, other health care entities and professional society membership actions.

Prior to May 6, 2013, "the Data Bank" referred to two separately operated Data Banks: the NPDB and the Healthcare Integrity and Protection Data Bank (HIPDB).[[5]](#footnote-5) While the NPDB and the HIPDB were established for different purposes, overlap existed in some reporting and querying requirements.[[6]](#footnote-6) To eliminate this duplication, Congress passed Section 6403 of the Affordable Care Act of 2010 (ACA), Public Law 111-148. As a result of this legislation, NPDB operations were consolidated with those of the former HIPDB. [[7]](#footnote-7)

Information previously collected and disclosed by the HIPDB is now collected and disclosed by the NPDB. Hospitals, health care entities, Boards of Medical Examiners and state licensing authorities, peer review organization, and private accreditation entities that take negative actions or make negative findings against practitioners, physicians, dentitsts or other health care entities must report information to the Data Bank. Insurance companies making payments as a result of medical malpractice claims must also report information to the Data Bank.[[8]](#footnote-8)

**What entities are subject to the reporting requirements for the Data Bank?**

The reporting requirements for the Data Bank apply to:[[9]](#footnote-9)

* Hospitals;
* Other health care entities that provide health care services and engage in professional review activity through a formal peer review process;
* Boards of Medical Examiners/State licensing or certification authorities;
* Professional societies which take adverse licensure of professional review actions;
* Peer review organizations;
* Private accreditation entities that take adverse actions or findings against health care practitioners;
* Health plans;
* Federal government agencies (including the Drug Enforcement Administration and the HHS Office of Inspector General);
* State law enforcement agencies;
* State Medicaid Fraud Control Units; and
* Entities (including insurance companies) that make payments as a result of medical malpractice actions or claims.

## What actions trigger the need to report to the Data Bank?

The Data Bank website maintained by HHS briefly summarizes the major catagories of actions that trigger the need for the appropriate entity to report to the Data Bank and the format for such a report:[[10]](#footnote-10)

|  |  |
| --- | --- |
| Table 1. Reportable Actions | |
| **Reportable Action** | **Data Bank Report Format** |
| Medical malpractice payments | Medical Malpractice Payment Report (MMPR) format |
| Adverse clinical privilege(including but not limited to network participation and panel membership) actions | Clinical Privileges Action category on the Adverse Action Report (AAR) format |
| Adverse professional society membership actions | Professional Society Action category on the AAR format |
| State licensure and certification actions:   * Actions related to licensure, certification, registration, or other authorization by the state to provide health care services * Actions related to certification agreements or contracts for participation in a government health care program | * State Licensure Action category on the AAR format * Government Administrative Action category on the AAR format |
| Federal licensure and certification actions:   * Actions related to licensure, certification, registration or other authorization to provide health care services * Actions related to certification agreements or contracts for participation in a government health care program | * Federal Licensure Action category on the AAR format * Government Administrative Action category on the AAR format |
| Negative actions or findings by a peer review organization | Peer Review Organization Action category on the AAR format |
| Negative actions or findings by a private accreditation organization | Accreditation Action category on the AAR format |
| Exclusions from participation in a Federal or state health care program (including Medicare and Medicaid) | Exclusion or Debarment Action category on the AAR format |
| Other adjudicated actions or decisions:   * Other adjudicated actions taken by a government agency * Other adjudicated actions taken by a health plan | * Government Administrative Action category on the AAR format * Health Plan Action category on the AAR format |
| Health care-related civil judgments and criminal convictions in Federal or state court\*   (Federal, state, and local prosecutors must report criminal convictions) | Judgment or Conviction Reports (JOCR)   * Criminal Conviction * Deferred Conviction * Nolo Contendere/No Contest Plea * Injunction * Civil Judgment |

## What information regarding malpractice payments for physicians must be reported to the Data Bank?

Medical malpractice payers must report to the Data Bank any payment made on behalf of a physician in full or partial settlement or satisfaction of a written medical malpractice claim or judgment against a physician.[[11]](#footnote-11) The report must be made by the payer within 30 days of the payment and the report must include, among other things:

* The name, address (work, and home if known), social security number, date of birth, each professional school attended (and year of graduation), and license number of the physician for whose benefit the payment was made (including the field of licensure and state of issue).
* DEA number;
* The name of any hospital with which the physician is associated.
* The name of the entity making the payment.
* The date of judgment or settlement.
* The amount of the payment.
* A description of the acts or omissions and injuries or illnesses upon which the claim was based.

Physicians, however, are not required to self-report to the Data Bank medical malpractice payments they make on their own behalf.

## What information regarding licensure actions against a physician must be reported to the Data Bank?

The following information must be reported to the Data Bankby the Medical Quality Assurance Commission (MQAC) within 30 days of the action based on reasons related to a physician’s professional competence or professional conduct:

* Any surrender of a physician’s license.
* Any revocation, suspension, or restriction of a physician’s license.
* Any censure, reprimand, or probation of a physician, for reasons relating to the physician’s professional competence or conduct as the result of a formal proceeding. Matters resolved by a stipulation to informal disposition (STID), which are not formal disciplinary actions, are nonetheless subject to public disclosure, ns must also be reported. See **Medical Discipline.**
* The MQAC must include the physician’s name, work address, home address if known, social security number if known, date of birth, each professional school attended, date of graduation, and license number, DEA number, a description of the acts or omissions for which the discipline was imposed, a description of the action taken by the MQAC, and the classification of the action under the Data Bankreporting codes.

In addition, the following licensure actions taken by a state as the result of a formal proceeding must be reported:

* Any dismissal or closure of a formal proceeding by reason of the physician surrendering his/her license, or leaving the state or jurisdiction.
* Any other loss of the physician’s license whether by operation of law, voluntary surrender (excluding those due to non-payment of licensure renewal fees, retirement, or change to inactive status), or otherwise.
* Any negative action or finding by such authority, organization, or entity regarding the physician.

## What information regarding adverse professional review actions on clinical privileges taken against a physician must be reported to the Data Bank?

Each health care entity must report information concerning an adverse professional review action whenever:

* The entity takes a professional review action that adversely affects a physician’s clinical privileges for more than 30 days.
* The entity accepts the surrender of clinical privileges of a physician in either of the following circumstances:
* While the physician is under an investigation relating to possible incompetence or improper professional conduct.
* In return for not conducting such an investigation or proceeding.
* A professional society takes a professional review action, based on reasons relating to professional competence or conduct, which adversely affects the membership of a physician in the society.

The entity must report such adverse professional review actions to the MQAC within 15 days of reviewing the entity’s report. The MQAC must then report the action to the Data Bank.

## Can a physician dispute a Data Bank report?

Yes.[[12]](#footnote-12) A physician may dispute either of the following, within 60 days of the date the report is mailed:

* The factual accuracy of a report.
* Whether a report was submitted in accordance with the Data Bank requirements, including the eligibility of the entity to make the report.

When disputing the accuracy of a report, the physician must:

* Inform the Secretary of the Department of Health and Human Services and the reporting entity, in writing, of the disagreement, and the basis for it.
* Request that the disputed information be entered into a “disputed” status, and be so related to inquirers.
* Attempt to enter into a discussion with the reporting entity to resolve the dispute.

The physician may not, however, dispute a report in order to do any of the following:

* Protest an insurer’s decision to settle a claim.
* Appeal the underlying reasons for an adverse action.

## How does a physician dispute a report to the Data Bank?

When the Data Bank processes a report, the Data Bank sends a *Notification of a Report in the Data Bank(s)* to the physician who has been reported. The physician should review the report for accuracy and, if any information in the report is inaccurate, contact the reporting entity to correct the information.

A physician who disagrees with the factual accuracy of a report has three options if the reporting entity will not correct the information:

* Add a statement to the report.
* Initiate a dispute of the report (must be done within 60 days from the date the report was mailed).
* Add a statement and initiate a dispute.

To add a statement or to dispute the report, the physician should follow the applicable instructions on the *Notification of a Report in the Data Bank(s)*. If the physician does not have the original *Notification of a Report in the Data Bank(s)*, the physician may obtain a *Subject Statement and Dispute Initiation* form from the Data Bank web site at [www.npdb-hipdb.com](http://www.npdb-hipdb.com).

## Who must query the Data Bank for information concerning a physician?

A hospital must request information from the Data Bank whenever a physician applies to the hospital for admission to the medical staff or for clinical privileges, and at least every two years for all physicians who are on the medical staff or have clinical privileges.

## Who else may query the Data Bank for information concerning a physician?

The following persons or entities may query the Data Bank for information concerning physicians:

* A hospital that requests information concerning a physician who is on its Medical Staff (courtesy or otherwise) or has clinical privileges at the hospital.
* Health care entities, including professional societies, when entering an employment relationship or other affiliation with a physician or in conjunction with professional review activities.
* State licensing boards.
* Physicians, but only for information about themselves.
* Plaintiff attorneys, or individuals representing themselves, who have filed a medical malpractice action or claim against a hospital, who have named the physician on whom information requested in the action or claim, and who have demonstrated that the hospital failed to make a mandatory query to the Data Bank regarding the physician. Plaintiff attorneys or individuals representing themselves may only use the information with respect to litigation resulting from the action or claim against the hospital.[[13]](#footnote-13)
* A health care entity with respect to professional peer review activities.
* A person requesting statistical information, in a form which does not permit the identification of the affected individual or entity.

Medical malpractice payors may not query the Data Bank at any time.

1. 45 CFR Parts 60 and 61. [↑](#footnote-ref-1)
2. U.S, Dep’t of Health and Human Services website, *Resources – Reference Library,* accessed Aug. 8, 2013 at: http://www.npdb-hipdb.hrsa.gov/resources/npdbMerge.jsp. [↑](#footnote-ref-2)
3. *Id*. [↑](#footnote-ref-3)
4. 45 CFR §60.2 [↑](#footnote-ref-4)
5. U.S, Dep’t of Health and Human Services website, *The Data Bank – About Us,* accessed Aug. 8, 2013 at: http://www.npdb-hipdb.hrsa.gov/topNavigation/aboutUs.jsp. [↑](#footnote-ref-5)
6. *Id.* [↑](#footnote-ref-6)
7. *Id.*  [↑](#footnote-ref-7)
8. 45 CFR §60.2 [↑](#footnote-ref-8)
9. U.S, Dep’t of Health and Human Services website, *The Data Bank – Reporting,* accessed Aug. 8, 2013 at: <http://www.npdb-hipdb.hrsa.gov/hcorg/aboutReporting.jsp>. [↑](#footnote-ref-9)
10. *Id.* [↑](#footnote-ref-10)
11. Title IV of the Health Care Quality Improvement Act of 1986, Public Law 99-660. [↑](#footnote-ref-11)
12. U.S, Dep’t of Health and Human Services website, *Practitioners – Responding to Reports,* accessed Aug. 8, 2013 at: <http://www.npdb-hipdb.hrsa.gov/pract/howToDisputeAReport.jsp>. [↑](#footnote-ref-12)
13. U.S, Dep’t of Health and Human Services website, *Practitioners – Information for Attorneys,* accessed Aug. 8, 2013 at: http://www.npdb-hipdb.hrsa.gov/pract/informationForAttorneys.jsp [↑](#footnote-ref-13)